

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

jc772 U.S. PTO



04/20/01

Attorney Docket No. 206334US2

First Inventor or Application Identifier Jun EBATA

Title DOCUMENT MANAGEMENT METHOD AND COMPUTER-READABLE STORAGE MEDIUM STORING PROGRAM CODE FOR EXECUTING THE SAME

JC978 U.S. PRO  
04/20/01



Assignee Name: RICOH COMPANY, LTD.

Assignee Address: 3-6, Nakamagome 1-chome, Ohta-ku, Tokyo 143-8555, Japan

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Specification

Total Sheets **33**

3.  Drawing(s) (35 U.S.C. 113)

Total Sheets **12**  
(Formals)

4.  Oath or Declaration

Total Pages

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)

i.  DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).

5.  CD-ROM or CD-R in duplicate, large table or Computer  
Program (*Appendix*)

6.  Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)

- a.  Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or

- ii.  Paper

- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 7.  Assignment Papers (cover sheet & document(s))
- 8.  Application Data Sheet. See 37 CFR 1.76
- 9.  37 C.F.R. §3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- 10.  English Translation Document (*if applicable*)
- 11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
- 12.  Preliminary Amendment
- 13.  White Advance Serial No. Postcard
- 14.  Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)
- 15.  Applicant claims small entity status.  
See 37 CFR 1.27
- 16.  Other: Notice of Priority, List of Inventor's Name  
and Address

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application no.:

Group Art Unit:

Prior application information: Examiner:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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Signature:		Date:	4/20/01
Name:	Registration Number 21,124	Registration No.:	

Docket No. 206334US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

- INVENTOR(S) Jun EBATA  
SERIAL NO: New Application  
FILING DATE: Herewith  
FOR: DOCUMENT MANAGEMENT METHOD AND COMPUTER-READABLE- STORAGE MEDIUM  
STORING PROGRAM CODE FOR EXECUTING THE SAME

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	10 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$840.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$840.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of **\$840.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

- IN RE APPLICATION OF: Jun EBATA
- FILING DATE: Herewith
- FOR: DOCUMENT MANAGEMENT METHOD AND COMPUTER-READABLE STORAGE MEDIUM STORING PROGRAM CODE FOR EXECUTING THE SAME

**LIST OF INVENTOR'S NAME AND ADDRESS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below is the name and address of the inventor for the above-identified patent application.

Jun EBATA

Tokyo, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
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